

DOCKET NO.: 288992US0PCT



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

GROUP: 2812

Yasuo MATSUKI, et al.

SERIAL NO: 10/575,478

ATTENTION:
FILING RECEIPT CORRECTIONS

FILED: April 12, 2006

FOR: COMPOSITION FOR FORMING SILICON-COBALT FILM, SILICON-COBALT FILM AND METHOD FOR FORMING SAME

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Patent Office is requested to provide a corrected Official Filing Receipt for the following.

PLEASE NOTE THAT THE FOREIGN APPLICATION DATA IS INCORRECT. IT SHOULD READ AS FOLLOWS:

JAPAN 2003-356158 10/16/2003

PLEASE NOTE THAT THE TITLE IS INCORRECT. IT SHOULD READ AS FOLLOWS:

COMPOSITION FOR FORMING SILICON-COBALT FILM,
SILICON-COBALT FILM AND METHOD FOR FORMING SAME

No fees are required. If you have any questions, please do not hesitate to contact us.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

A handwritten signature in black ink, appearing to read "Paul J. Killos", is written over a horizontal line.

Paul J. Killos
Registration No. 58,014

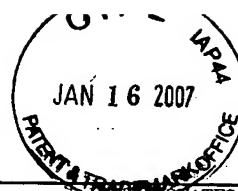
Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 03/06)
Docket # 288992US
Serial # 10/575,478



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/575,478	04/12/2006	2812	900	288992US0PCT	1	5	1

CONFIRMATION NO. 3424

22850
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.
1940 DUKE STREET
ALEXANDRIA, VA 22314

FILING RECEIPT



OC000000021758143

Date Mailed: 12/29/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yasuo Matsuki, Tokyo, JAPAN;
Daohai Wang, Tokyo, JAPAN;
Tatsuya Sakai, Tokyo, JAPAN;
Haruo Iwasawa, Tokyo, JAPAN;

Assignment For Published Patent Application

JSR Corporation, Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number **22850**.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/15101 10/06/2004

Foreign Applications

JAPAN 2003-~~361~~158 10/16/2003

356

If Required, Foreign Filing License Granted: 12/27/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/575,478**

Projected Publication Date: 04/05/2007

RECEIVED: 1/3/07
OBLON, SPIVAK, MCCLELLAND
MAIER & NEUSTADT, P.C.
DOCKETING DEPT
Initials/Date Docketed: SP 1/4/07
Type of Resp(s): _____
Due Date(s): _____

Non-Publication Request: No

Early Publication Request: No

Title

Composition for forming silicon-cobalt film, silicon-cobalt film and method ^{for} forming same

Preliminary Class

438

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



DOCKET NO.: 288992US0PCT/sma

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Yasuo MATSUKI, et al.

GROUP: 2812

SERIAL NO: 10/575,478

FILED: April 12, 2006

EXAMINER:

FOR: COMPOSITION FOR FORMING SILICON-COBALT FILM, SILICON-COBALT FILM AND METHOD FOR FORMING SAME

SUBMISSION OF SUPPLEMENTAL APPLICATION DATA SHEET

Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant(s) submit herewith a Supplemental Application Data Sheet for the above-identified application for the purpose of adding the Assignment information.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Paul J. Killos
Registration No. 58,014

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 06/04)



SUPPLEMENTAL APPLICATION DATA SHEET

APPLICATION INFORMATION

Application Number:: 10/575,478
Application Date:: 04/12/06
Application Type:: REGULAR
Subject Matter:: UTILITY
CD-ROM or CD-R?: NONE
Title:: COMPOSITION FOR FORMING
SILICON-COBALT FILM, SILICON-
COBALT FILM AND METHOD FOR
FORMING SAME

Attorney Docket Number:: 288992US0PCT
Total Drawing Sheets:: 1

INVENTOR INFORMATION

Applicant Authority Type:: INVENTOR
Primary Citizenship Country:: Japan
Status:: FULL CAPACITY
Given Name:: Yasuo
Family Name:: MATSUKI
City of Residence:: Tokyo
Country of Residence:: Japan
Street of Mailing Address:: c/o JSR Corporation, 6-10, Tsukiji 5-
chome, Chuo-ku

City of Mailing Address:: Tokyo
Country of Mailing Address:: Japan
Postal or Zip Code of Mailing Address:: 104-0045

Applicant Authority Type:: INVENTOR
Primary Citizenship Country:: China
Status:: FULL CAPACITY
Given Name:: Daohai
Family Name:: WANG
City of Residence:: Tokyo
Country of Residence:: Japan
Street of Mailing Address:: c/o JSR Corporation, 6-10, Tsukiji 5-
chome, Chuo-ku

City of Mailing Address:: Tokyo
Country of Mailing Address:: Japan
Postal or Zip Code of Mailing Address:: 104-0045

Applicant Authority Type:: INVENTOR
 Primary Citizenship Country:: Japan
 Status:: FULL CAPACITY
 Given Name:: Tatsuya
 Family Name:: SAKAI
 City of Residence:: Tokyo
 Country of Residence:: Japan
 Street of Mailing Address:: c/o JSR Corporation, 6-10, Tsukiji 5-
 chome, Chuo-ku
 City of Mailing Address:: Tokyo
 Country of Mailing Address:: Japan
 Postal or Zip Code of Mailing Address:: 104-0045

Applicant Authority Type:: INVENTOR
 Primary Citizenship Country:: Japan
 Status:: FULL CAPACITY
 Given Name:: Haruo
 Family Name:: IWASAWA
 City of Residence:: Tokyo
 Country of Residence:: Japan
 Street of Mailing Address:: c/o JSR Corporation, 6-10, Tsukiji 5-
 chome, Chuo-ku
 City of Mailing Address:: Tokyo
 Country of Mailing Address:: Japan
 Postal or Zip Code of Mailing Address:: 104-0045

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 22850

REPRESENTATIVE INFORMATION

Representative Customer Number:: 22850

DOMESTIC PRIORITY INFORMATION

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/JP04/15101	10/06/04

FOREIGN PRIORITY INFORMATION

Application Number:	Country::	Filing Date::	Priority Claimed::
2003-356158	Japan	10/16/03	YES

ASSIGNMENT INFORMATION

Assignee Name:: JSR Corporation
Street of Mailing Address:: 6-10, Tsukiji, 5-chome, Chuo-ku
City of Mailing Address:: Tokyo
Country of Mailing Address:: JAPAN
Postal or Zip Code of Mailing Address:: 104-0045